

Title 17—COMMODITY AND SECURITIES EXCHANGES

Chapter II—Securities and Exchange Commission

[Release Nos. 33-5127, 34-9065, 35-16972, 39-289, IC-6330, IAA-281]

PART 231—INTERPRETATIVE RELEASES RELATING TO THE SECURITIES ACT OF 1933 AND GENERAL RULES AND REGULATIONS THEREUNDER

PART 241—INTERPRETATIVE RELEASES RELATING TO THE SECURITIES EXCHANGE ACT OF 1934 AND GENERAL RULES AND REGULATIONS THEREUNDER

PART 251—INTERPRETATIVE RELEASES RELATING TO THE PUBLIC UTILITY HOLDING COMPANY ACT OF 1935 AND GENERAL RULES AND REGULATIONS THEREUNDER

PART 261—INTERPRETATIVE RELEASES RELATING TO THE TRUST INDENTURE ACT OF 1939 AND GENERAL RULES AND REGULATIONS THEREUNDER

PART 271—INTERPRETATIVE RELEASES RELATING TO THE INVESTMENT COMPANY ACT OF 1940 AND GENERAL RULES AND REGULATIONS THEREUNDER

PART 276—INTERPRETATIVE RELEASES RELATING TO THE INVESTMENT ADVISERS ACT OF 1940 AND GENERAL RULES AND REGULATIONS THEREUNDER

Procedure Applicable to Requests for No Action or Interpretative Letters

The Commission in Securities Act Release 5098 (35 F.R. 17779) announced the adoption, effective December 1, 1970, of a rule (17 CFR 200.81) providing for the public availability of requests for no action and interpretative letters and the responses thereto. The purpose of this release is to indicate more specifically the procedures to be followed by persons submitting such requests in order to facilitate their processing and so that the letter containing the request and the response thereto will be conveniently available for public use in the Public Reference Room in the principal office of the Commission in Washington. To meet these needs the following procedure should be followed:

1. An original and two copies of each letter requesting a no action position or interpretation should be submitted. If the inquiry involves more than one subsection of a statute, or subsections of more than one statute, an additional copy of the letter should be submitted for each subsection involved.

2. The specific subsection of the particular statute to which the letter pertains should be indicated in the upper righthand corner of the original and each copy of the letter submitted pursuant to paragraph 1 above. Thus, for example, a letter requesting an interpretation of the intrastate exemption would be captioned "1933 Act/3(a)(11)," and a letter requesting an interpretation of Rule 10b-6 under the Securities Exchange Act of 1934 would be captioned "1934 Act/Rule 10b-6."

3. The names of the company or companies and all other persons involved should be stated. Letters relating to unnamed companies or persons, or to hypothetical situations, will not be answered.

4. Letters should be limited to the particular situation involving the problem at hand, and should not attempt to include every possible type of situation which may arise in the future.

5. While it is essential that letters contain all of the facts necessary to reach a conclusion in the matter, they should be concise and to the point.

6. The writer should indicate why he thinks a problem exists, his own opinion in the matter and the basis for such opinion.

7. If a request for confidential treatment is made, this request and the basis therefor should be included in a separate letter and submitted with the no action request letter.

Because of the volume of letters received, letters which are not prepared in accordance with the procedures set forth above may be returned to the sender for compliance with such procedures.

By the Commission, January 25, 1971.

[SEAL] ORVAL L. DUBOIS,
Secretary.

[FR Doc.71-1720 Filed 2-8-71;8:47 am]

Title 41—PUBLIC CONTRACTS AND PROPERTY MANAGEMENT

Chapter 101—Federal Property Management Regulations

SUBCHAPTER E—SUPPLY AND PROCUREMENT

PART 101-26—PROCUREMENT SOURCES AND PROGRAMS

Procedures for Ordering Security Cabinets

This amendment deletes the requirement for obtaining a letter of authorization to procure security cabinets.

The table of contents for Part 101-26 is amended to revise the caption of § 101-26.407 as follows:

Sec.
101-26.407 Procurement of security cabinets by Government fixed-price prime contractors and subcontractors.

Subpart 101-26.4—Purchase of Items From Federal Supply Schedule Contracts

The caption to § 101-26.407 is revised to read as follows:

§ 101-26.407 Procurement of security cabinets by Government fixed-price prime contractors and subcontractors.

Section 101-26.407-3 is amended as follows:

§ 101-26.407-3 Procedure for ordering security cabinets.

(b) Purchase orders for security cabinets under Federal Supply Schedule contracts submitted by fixed-price prime contractors or subcontractors under any type of contract shall contain a statement that such cabinets are needed for housing Government security classified information and that the purchase of such cabinets is required to comply with the security provision of a Government contract.

(c) A statement substantially as follows shall be placed on orders for security cabinets placed with Federal Supply Schedule contractors:

In the event of any inconsistency between the terms and conditions of this order and those of your Federal Supply Schedule contract, the latter will govern.

(Sec. 205(c), 63 Stat. 390; 40 U.S.C. 480(e))

Effective date. This regulation is effective upon publication in the FEDERAL REGISTER (2-9-71).

Dated: February 2, 1971.

ROBERT L. KUNZIG,
Administrator of General Services.
[FR Doc.71-1715 Filed 2-8-71;8:46 am]

Chapter 114—Department of the Interior

MISCELLANEOUS AMENDMENTS TO CHAPTER

Pursuant to the authority of the Secretary of the Interior contained in 5 U.S.C. 301 (Supp. V, 1965-1969) and section 205(c), 63 Stat. 390; 40 U.S.C. 486(c), §§ 114-1.109-50, 114-43.102-52, and 114-47.203-1(c) are amended as set forth below. These revised sections shall become effective on the date of publication in the FEDERAL REGISTER (2-9-71).

RICHARD R. HITE,
Deputy Assistant Secretary
for Administration.

FEBRUARY 2, 1971.

PART 114-1—INTRODUCTION

Subpart 114-1.1—Regulation System

I. The following amends 41 CFR Part 114-1 as previously published at 34 F.R. 439:

Section 114-1.109-50 is amended to read as follows:

§ 114-1.109-50 Bureau and Office codification.

Bureau regulations codified into the FPMR System will be identified by al-